(19) World Intellectual Property Organization International Bureau



(43) International Publication Date 23 January 2003 (23.01.2003)

PCT

(10) International Publication Number WO 03/007429 A1

H01Q 15/00, (51) International Patent Classification7: 21/24

(21) International Application Number: PCT/US02/22142

11 July 2002 (11.07.2002) (22) International Filing Date:

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data: 09/905,795

13 July 2001 (13.07.2001)

(63) Related by continuation (CON) or continuation-in-part (CIP) to earlier application:

US 09/905,795 (CON)

Filed on 13 July 2001 (13.07.2001)

(71) Applicant (for all designated States except US): HRL LABORATORIES, LLC [US/US]; 3011 Malibu Canyon Road, Malibu, CA 90265-4799 (US).

(72) Inventors; and

(75) Inventors/Applicants (for US only): SIEVENPIPER,

Daniel, F. [US/US]; 11300 Exposition Boulevard, #215, Los Angeles, CA 90064 (US). HSU, Hui-Pin [US/US]; 9360 Zelzah Avenue, Northridge, CA 91325 (US). SHAFFNER, James, H. [US/US]; 10560 Alabama Avenue, Chatsworth, CA 91311 (US). TANGONAN, Gregory, L. [US/US]; 141 Santa Rosa Avenue, Oxnard, CA 93035 (US).

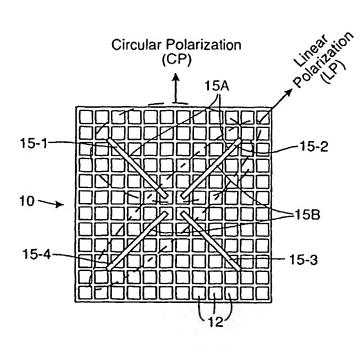
(74) Agents: BERG, Richard, P. et al.; Ladas & Parry, 5670 Wilshire Boulevard, Suite 2100, Los Angeles, CA 90036-5679 (US).

(81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, OM, PH, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZM, ZW.

(84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE,

[Continued on next page]

(54) Title: AN ANTENNA SYSTEM FOR COMMUNICATING SIMULTANEOUSLY WITH A SATELLITE AND A TERRES-TRIAL SYSTEM



(57) Abstract: An antenna system for receiving both circularly polarized electromagnetic signals and linearly polarized electromagnetic signals, the circularly polarize signals arriving at the antenna system from a direction normal or oblique to a major surface of the antenna system and the linearly polarized signals arriving at the planar antenna system from a direction acute to said major surface. The antenna system includes a high impedance surface and a plurality of antenna elements disposed on said high impedance surface, the plurality antenna elements arranged in a pattern on said surface such first selected ones of said antenna elements are responsive to circular polarization and second selected ones of said antenna elements are responsive to linear polarization.

WO 03/007429 A1



ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

Published:

with international search report

An Antenna System for Communicating Simultaneously with a Satellite and a Terrestrial System

5 Field of the Invention

This present invention relates to antenna systems which may be used on vehicles to communicate with both a satellite and a terrestrial system.

10 Background of the Invention

15

20

There is currently a need for antennas and/or antenna systems that can communicate with both a satellite and a terrestrial system. One example of such a need is for a Direct Broadcast Satellite (DBS) radio in which radio signals are broadcast from a satellite and are received by a receiver located on vehicle and also received by terrestrial repeaters which rebroadcast the signals therefrom to the same vehicle. Typically, a direct broadcast satellite uses circular polarization so that the vehicle can receive the transmission in any orientation. However, terrestrial networks typically transmit in vertical polarization. If satellite communication fails (for example, if the satellite becomes hidden by a building or other object - manmade or natural) the terrestrially rebroadcast signal can be used to fill in the gaps in the satellite signal.

DBS radio systems typically have a narrow bandwidth (about 0.5%) due to the low power available from satellites, as well as the problems associated with mobile wireless communications. On the other hand, an antenna typically must be designed with at least several percent bandwidth

to account for possible errors in manufacturing. For this reason, the antennas used to receive DBS radio signals will generally have a much wider bandwidth than the signals of interest (both satellite and terrestrial), and thus the various components of DBS signals can be considered as being essentially at the same frequency.

5

10

15

There is a need for antennas or antenna systems that can receive radio frequency signals having circular polarization and/or linear vertical polarization. Furthermore, the antenna or antenna system should preferably be able to utilize different radiation patterns for each of these two functions. The antenna or antenna system should have a radiation pattern lobe with circular polarization directed towards the sky, at the required elevation angle for satellite reception, and also have a radiation pattern lobe with linear polarization directed towards the horizon, for terrestrial repeater reception.

Antennas exist that can perform these two functions. For example, a quadrafilar helix antenna, which consists of four wires wound in a helical geometry, can do so. The drawback of this antenna is that it typically protrudes one-quarter to one-half wavelength from the surface of where ever it is mounted and thus if it is mounted protruding from the exterior surface of a vehicle, it results in an unsightly and unaerodynamic vertical structure.

30 .

The antenna disclosed herein performs these two functions yet lies essentially flush with the roof of the vehicle. It is able to perform as a dual circular/linear antenna, with the ability to form beams in various directions. It has the added advantage that it can incorporate beam-switched diversity for an improved signal to noise and interference ratio.

15 This invention improves upon the existing vertical rod antenna that is currently used for satellite

and terrestrial radio broadcasts. The disclosed antenna is much less than one-tenth of one wavelength in thickness, and can be placed directly on a metal vehicle roof and lies flush or essentially flush therewith.

The present invention utilizes a Hi-Z surface, a particular kind of ground plane that has been demonstrated to be useful with certain low-profile antennas. The present invention preferably uses four linear wire antenna elements arranged a radial pattern, the four wire antennas being fed by a beam forming network that generates the desired polarizations and beam patterns. Other antenna elements can alternatively be used. The beam forming network has two or more outputs that are routed to a radio receiver, for example (a transceiver could be used if the antenna system is used for both receiving and transmitting signals). The antenna disclosed herein also provides the option for beam switched diversity, providing even better performance. The primary advantage of this antenna is that it is thin, and can be mounted directly on or concealed within the metal roof, for example, of a vehicle.

15

20

25

The prior art includes:

- (1) D. Sievenpiper and E. Yablonovitch, "Circuit and Method for Eliminating Surface Currents on Metals" U. S. provisional patent application, serial number 60/079953, filed on March 30, 1998 by UCLA and corresponding PCT application PCT/US99/06884, published as WO99/50929 on October 7, 1999, the disclosures of which are hereby incorporated herein by reference.
- (2) US Patent 5,929,819, "Flat antenna for satellite communication", by Grinberg, Jan and assigned to Hughes Electronics Corporation. While this patent describes a flat antenna for satellite reception, it is not nearly as flat as the present invention, because it requires elevated lenses. Furthermore, it does not provide for also communicating with a terrestrial system.
 - (3) US Patent 6,005,521, "Composite antenna", by Suguro, Akihiro and Ookita, Hideto,

which patent was assigned to Kyocera Corporation. The antenna disclosed therein provides for diversity reception of signals having different polarizations. However, it is well suited for integrating into a vehicle because of the requirement for a section having a vertical projection.

- (4) US Patent 6,081,239, "Planar antenna including a superstrate lens having an effective dielectric constant", by Sabet, Kazem F.; Sarabandi, Kamal; and Katehi, Linda P. B., which patent was assigned to Gradient Technologies, LLC. This patent describes various ways of making a lens having an effective dielectric constant, and the combination of that lens with an antenna. This disclosed concept can be employed with the present invention to control the radiation pattern of the disclosed antenna.
- (5) R. Vaughan, "Spaced Directive Antennas for Mobile Communications by the Fourier Transform Method", IEEE Transactions on Antennas and Propagation, vol. 48, no. 7, pp. 1025-1032, July 2000.
- (6) P. Perini, C. Holloway, "Angle and Space Diversity Comparisons in Different Mobile Radio Environments", IEEE Transactions on Antennas and Propagation, vol. 46, no. 6, pp 764-775, June 1998.
- (7) C. Balanis, <u>Antenna Theory, Analysis, and Design</u>, 2nd edition, John Wiley and Sons, New York, 1997.

Related applications include the following:

- (1) D. Sievenpiper, J. Schaffner, "A Textured Surface Having High Electromagnetic Impedance in Multiple Frequency Bands", U.S. Patent Application Number 09/713,117 filed November 14, 2000.
- (2) D. Sievenpiper, H. P. Hsu, G. Tangonan, "Planar Antenna with Switched Beam Diversity for Interference Reduction in Mobile Environment", U.S. Patent Application Number 09/525, 831 filed March 15, 2000 and International Patent Application Number PCT/US00/35030 filed December 22, 2000.

(3) D. Sievenpiper; J. Schaffner; H. P. Hsu; and G. Tangonan, "A Method of Providing Increased Low-Angle Radiation Sensitivity in an Antenna and an Antenna Having Increased Low-Angle Radiation Sensitivity", U.S. Patent Application Number 09/905,796 filed on the same date as this application (Attorney Docket 618350-5).

Brief Description of the Invention

In one aspect, the present invention provides an antenna for receiving circularly polarized signal from a position relatively high in the sky and at the same time linearly polarized signals from a position relatively lower in the sky and closer to the horizon, the antenna comprising a high impedance surface and a plurality of antenna elements disposed on said high impedance surface and arranged in a pattern on said surface, first selected ones of said antenna elements being responsive to circular polarization and second selected ones of said antenna elements being responsive to linear polarization.

In another aspect, the present invention provides a method of receiving circularly polarized signal from a position relatively high in the sky and at the same time linearly polarized signals from a position relatively lower in the sky and closer to the horizon, the method comprising the steps of: providing a high impedance surface; and disposing a plurality of antenna elements on said high impedance surface and arranging the plurality antenna elements in a pattern on said surface such that first selected ones of said antenna elements are responsive to circular polarization and second selected ones of said antenna elements are responsive to linear polarization.

In yet another aspect, the present invention provides an antenna system for receiving both circularly polarized radio frequency signals and linearly polarized radio frequency signals, the

circularly polarized signals arriving at the antenna system from a direction normal or oblique to a major surface of the antenna system and the linearly polarized signals arriving at the planar antenna system from a direction acute to said major surface, the antenna system comprising a high impedance surface and a plurality of antenna elements disposed on said high impedance surface, the plurality antenna elements arranged in a pattern on said surface such that first selected ones of said antenna elements are responsive to circular polarization and second selected ones of said antenna elements are responsive to linear polarization.

Brief Description of the Drawings

10

20

25

Figure 1 depicts the radiating section of the presently disclosed antenna system which includes a region of Hi-Z surface and four radiating wires which extend radially from the center of the Hi-Z surface;

15 Figure 1a is similar to Figure 1 and shows an alternative design with four patch antennas arranged on a Hi-Z surface;

Figures 2a and 2b depict two schemes for impedance matching a wire antenna with a 50 Ohm impedance circuit - heretofore wire antenna typically had a capacitive reactance and a small inductive loops section is required as is shown by Figure 2b; however, in the present design it was determined that the wire antenna has a natural inductive reactance, and a small capacitive tail section is required as is shown by Figure 2a;

Figure 3 is a diagram showing an experimental setup which was used for measuring a single wire antenna in which wire antenna #1 was attached to our antenna measurement system, while wires

antennas #2-4 were attached to a 50 Ohm load;

Figure 4 depicts the gain of a single wire antenna as a function of frequency in the direction normal to the surface according to the experiment conducted in the set up of Figure 3;

5

Figure 5 depicts the radiation pattern of the single wire antenna in the E-Plane (thinner line) and the H-Plane (thicker line) according to the experiment conducted in the set up of Figure 3;

Figure 6 is a diagram showing experimental setup for measuring the radiation and gain patterns of a pair of orthogonal wire antenna elements driven out of phase by 90 degrees;

Figure 7 depicts the radiation pattern of the two orthogonal antenna elements shown in Figure 6, this pattern representing radiation along a symmetry plane between the two wires;

Figure 8 depicts the radiation pattern of the two orthogonal antenna elements shown in Figure 6, this pattern representing radiation along the plane which is orthogonal to both the symmetry plane between the two antenna elements and the plane of the Hi-Z surface;

Figure 9 graphs the gain of the two orthogonal antenna elements shown in Figure 6 as a function of frequency in a direction normal to the Hi-Z surface for both co-polarized radiation and for cross-polarized radiation;

Figure 10 is a diagram showing experimental setup for measuring the radiation pattern of a pair of

co-linear wire antenna elements driven out of phase by 90 degrees;

Figure 11 depicts the radiation pattern of the two co-linear antenna elements shown in Figure 10, this pattern representing radiation from a top or plan view;

5

Figure 12 is a schematic diagram of a simple combining network for producing two outputs, one for a terrestrial communication system and another a for satellite communication system;

Figure 13 is a schematic diagram of a more complicated combining network.

10

15

20

Detailed Description of a Preferred Embodiment

This invention utilizes a high impedance (Hi-Z) surface, a type of ground plane that has recently been developed that allows antennas to lie directly adjacent to a metal surface without being shorted out, and at the same time maintaining an antenna impedance near 50 Ohms. The Hi-Z surface exhibits a relatively higher impedance at a frequency of interest (usually the center frequency for the band of interest of the antennas) and having a relatively lower impedance at frequencies higher and lower than the frequency of interest. This new surface also allows one to control the excitation of surface waves on the surrounding ground plane. This allows one to control the radiation pattern of the antenna, in particular the amount of radiation that is emitted at low elevation angles.

A Hi-Z surface is preferably used in this invention for several reasons:

(1) a Hi-Z surface permits the antenna to have a small thickness, i.e, to be low-profile (in

this case the thickness can be as small as on the order of one one-hundredth of one wavelength of the normal operating frequency of the antenna disposed thereon),

- (2) a Hi-Z surface allows the antenna and Hi-Z surface combination to lie directly adjacent to the metal roof of a vehicle, and
- 5 (3) a Hi-Z surface controls the excitation of surface currents in the surrounding metal ground plane and thereby controls the radiation pattern.

The Hi-Z surface, which is described in PCT application PCT/US99/06884, published as WO99/50929 on October 7, 1999, consists of a flat metal surface covered with a two dimensional lattice of metal plate-like protrusions. These protrusions are capacitively coupled to their neighbors and are inductively coupled to an adjacently disposed ground plane. Hi-Z surfaces have been constructed using printed circuit board technology. The sheet capacitance is controlled by the proximity of the metal protrusions to their neighbors, or their overlap area, and can be designed to have a desired value by adjusting the geometry of the protrusions when they are formed on a printed circuit board, for example. The sheet inductance of the structure is controlled by its overall thickness. Thus, one can tune the capacitance and inductance, and thereby tune the effective sheet impedance of the surface, which is effectively equal to a LC circuit made up of the sheet capacitance and sheet inductance. Near the resonance frequency given by:

$$\omega = \sqrt{\frac{1}{LC}} ,$$

10

15

the structure has a high surface impedance. At this frequency the reflection phase crosses through zero, and the surface behaves as an artificial magnetic conductor. It has impedance > 377 Ohms over a bandwidth given by:

$$BW = \frac{\sqrt{L/C}}{\sqrt{\mu_{o}/\epsilon_{o}}}$$

where L is the sheet inductance, C is the sheet capacitance, μ_0 is the magnetic permeability of free space, and ϵ_0 is the electric permittivity of free space.

Within this bandwidth, a Hi-Z surface structure suppresses the propagation of surface waves. This effect can be described as a surface wave band gap. Within the band gap, since the surface has high sheet impedance, it also allows antennas to lie directly adjacent to it without being shorted out. This allows the antenna to be very thin, because it eliminates the requirement for one-quarter wavelength separation between the antenna and the ground plane. Near the upper edge of the surface wave band gap, the structure supports transverse electric (TE) surface waves, which exist as leaky waves, meaning that they radiate from the surface. The upper edge of the band gap can be defined as the resonance frequency plus one-half the bandwidth, ω_{res} +BW/2. This is actually the point where the reflection phase crosses through -90 degrees, and generally corresponds to the upper edge of the surface wave band gap as well. Leaky TE waves are usually supported in the range between ωres and ω_{res} +BW/2. For a small area (one that is equal to or less than one square wavelength) of a high-impedance surface, these leaky TE waves can be used to excite transverse magnetic (TM) waves on a surrounding ground plane consisting of ordinary metal. Both the leaky TE waves and the secondary TM waves can be used to increase the low angle radiation intensity of an antenna as described in U.S. Patent Application Number 09/905,796, filed on the same date as this application. This effect may be exploited in this invention as well.

It is known in the art how to engineer the band gap of the Hi-Z surface to a desired center frequency and therefore the techniques used to design the Hi-Z surface are not described here. The reader is instead directed to D. Sievenpiper and E. Yablonovitch, "Circuit and Method for Eliminating Surface Currents on Metals" U. S. provisional patent application serial number 60/079953, filed March 30, 1998 and corresponding PCT application PCT/US99/06884, published as WO99/50929 on October 7, 1999, the disclosures of which are hereby incorporated

herein by reference.

5

10

15

20

25

The disclosed antenna also takes advantage of the concept of antenna diversity, which by itself is know in the prior art (see the articles by Vaughan and/or Perini & Holloway noted previously). In the related applications referred to above, an antenna, disposed upon a Hi-Z surface that includes switched beam diversity of either horizontal or vertical polarization using either a flared notch antenna or wire antenna, is described. In the present application, these concepts are expanded upon preferably to include both improved low angle radiation and a new antenna feeding network, which allows the antennas to provide multiple beams and multiple polarizations simultaneously, in order to allow access to both a satellite and a terrestrial network, simultaneously. Specifically, the disclosed antenna system produces a radiation pattern lobe towards the sky having circular polarization and a radiation pattern lobe towards the horizon having vertical linear polarization. Furthermore, each of these two lobes can occur simultaneously, with separate RF outputs being routed to an external diversity combiner. This allows signals from both a satellite and a terrestrial network to be used simultaneously by a receiver downstream of the diversity combiner. This is in addition to the switched beam diversity already present in the antenna itself.

A first embodiment of the antenna is shown in Figure 1. It includes of a region of Hi-Z surface 10 which is shown as being square, but it can be circular or of any other desired shape. The Hi-Z surface includes an array of plate-like conductive elements 12 which are spaced from each other and disposed on a dielectric substrate. Upon the Hi-Z surface 10 are disposed four linear wire antenna elements 15 each one of which is identified by the designations 15-1 through 15-4. The wire antennas 15 are generally 1/3 to 1/2 wavelength long, at the resonance frequency of the Hi-Z surface 10, and operate most efficiently within the band gap of the Hi-Z surface 10. These four wire antenna elements 15 are fed near the center of the surface 10. Each wire antenna 15 preferably extends radially towards the periphery of the surface 10 along preferably orthogonal

axes X and Y (see Figure 1a). Pairs or groups of antenna elements 15 may be combined with varying phase to produce nearly any desired radiation pattern or polarization. As will be seen, orthogonal pairs 15A of antenna elements 15 may be combined with a 90 degree phase shift element to produce circular polarization (CP). Collinear pairs 15B of antenna elements 15 may be combined with various phases to produce various radiation patterns having linear polarization (LP).

5

10

15

A second embodiment of the antenna is shown in Figure 1a wherein the four linear wire antenna elements 15 have been replaced by four patch antenna elements identified by numerals 15-1 through 15-4. These patch antenna elements serve the same purpose as do the linear wire antenna elements. The antenna elements 15, whether occurring as wire antenna elements or patch antenna elements or otherwise, are all preferably identical to each other and are arranged in a regular repeating pattern on the surface 10. Of course, the orientations of the individual elements may be different. The patterns shown in Figures 1 and 1a may repeat numerous times on a single high impedance surface 10. Furthermore, antenna systems may have radial patterns of antenna elements, for example, extending along axes X and Y, comprising more than four antenna elements 15 or less than four antenna elements 15 can be used with greater or lesser performance, respectively, and with greater or lesser complexity, respectively.

A more detailed representation of a single linear antenna element 15 of the first embodiment of the antenna is shown by Figures 2a and 2b. It has been determined experimentally that a good impedance match can be made between wire antenna elements 15 and a 50 Ohm coax cable 19 by extending an additional piece or stub of wire 17 from the feed point 16 in a direction opposite to the direction taken by antenna element 15, as is shown in Figure 2a. Since the wire antenna elements 15 extend towards the periphery of the surface, the stubs 17 extend toward the center of the surface 10. The stubs 17 are tuned experimentally, but each generally has a length equal to or less than one-quarter of the overall length of the antenna element. The feed point 16 between

of the coax cable 19 while the ground shield 19b of the coax cable 19 is coupled to the ground plane 18 of the Hi-Z surface 10. The coax cable can have an impedance other than 50 Ohms, but 50 Ohms is preferred since that is believed to provide a good impedance match with the antenna elements 15. Many such antenna elements which have been studied in the past on Hi-Z surfaces have had an inherent capacitive component in their input impedance. These earlier antenna designs have required the addition of a loop-like structure 14 near the feed point as is shown by Figure 2b. In the case of the present invention, the input impedance of the antenna element 15 is inductive. A good input impedance match to the preferred 50 Ohm cable 19 can be obtained using the stub structure 17 described here with reference to Figure 2a for each wire antenna element 15.

Two techniques by which the radiation pattern of a single antenna element 15 may be adjusted for improved low angle performance will now be described. One technique is to make the effective length of the wire slightly longer than one-half wavelength. This creates a null in the radiation pattern which is offset from normal in the direction of the antenna feed, and creates a broad main beam that is biased towards the other end of the antenna. This can be considered as a quasi-traveling wave antenna. Another technique for increasing the low angle radiation intensity is to operate the Hi-Z surface near the upper edge of the band gap. This technique is described by J. Schaffner; H. P. Hsu; G. Tangonan; and D. Sievenpiper in a US patent application entitled "A Method of Providing Increased Low-Angle Radiation Sensitivity in an Antenna and an Antenna having Increased Low-Angle Radiation Sensitivity", U.S. Patent Application Number 09/905,796 filed July 13, 2001. Either or both of these methods may be used with this invention for improving the low angle performance of the antenna. Low angle radiation is important, especially for the terrestrial repeater network, because the terrestrial base stations (repeaters) are typically located near the horizon. Another way of controlling the radiation pattern of an antenna is to use a dielectric lens, as described in the prior art (see US Patent 6,081,239 mentioned above). This concept can be used with the presently described antenna

system as well.

20

25

Functions that can be performed with a four element antenna and its properties will now be described. Figure 3 shows the four element antenna with wire antenna element being 15-1 5 addressed directly for purpose of an experiment. Antennas 15-2 through 15-4 are terminated with a matched load in this experiment. Figure 4 shows the gain of this antenna at broadside as a function of frequency according to experimental data which was obtained connecting the antenna as shown by Figure 3. It can be seen from the plot of Figure 4 that the antenna of this embodiment has a bandwidth of roughly 20% which is quite acceptable for many applications. The operating band of the antenna of this embodiment is centered around 2.1 gigahertz and the 10 resonance frequency of the Hi-Z surface 10 utilized in the experiment was also centered around 2.1 gigahertz. The radiation pattern, in an elevation view, of this antenna is shown in Figure 5. It is broad in both the E-Plane in the H-Plane, which means that by using common array techniques (see the book by C. Balanis noted above) one may produce radiation patterns covering a broad range of angles and having a variety of polarizations. Of course, this antenna and its Hi-Z surface can be easily modified for use in other frequency ranges.

In order to produce circular polarization (CP) for the purpose of communicating with an orbiting satellite, one must combine two orthogonal linear components with a relative phase delay of 90 degrees. This may be done using a 90 degree hybrid 25 as shown in Figure 6. The function of the 90 degree hybrid is known to those skilled in the art of microwave components and 90 degree hybrids, as well as other microwave elements mentioned herein, are commercially available from Anaren Microwave of East Syracuse, NY, USA. The two output ports of the hybrid 25 produce opposite circular polarizations. In an experiment to test the suitability of the antenna system for use with satellites, antenna element 15-1 and antenna element 15-4 were attached to a 90 degree hybrid 25 which allowed the two elements to be driven out of phase by 90 degrees. In this experiment, antenna elements 15-1 and antenna element 15-4 were fed using the 90 degree hybrid

25 with the unused port on the hybrid being terminated with a 50 Ohm load 27. The radiation pattern for this antenna arrangement according to this experiment was measured and Figure 7 shows the detected radiation pattern, in an elevation view, measured with a circularly polarized remote antenna. This radiation pattern is taken in the plane of mirror symmetry between the two antenna elements. The radiation pattern is slightly asymmetric because since two orthogonal elements out of the four are being driven, which two are next to each other on one side of the Hi-Z surface. Hence, the antenna is not entirely symmetric, resulting in an asymmetric pattern. The radiation pattern is broad and oriented towards the sky with a slight bias towards one direction.

5

25

- The radiation pattern in an orthogonal plane, in an elevation view, is shown in Figure 8. This radiation pattern represents radiation along a plane which is orthogonal to both the symmetry plane between the two wires and the plane of the Hi-Z surface 10. This radiation pattern is also slightly asymmetric as a result of the natural asymmetry introduced by the 90 degree hybrid 25.
- Figure 9 shows the gain at broadside of this pair of antenna elements taken with two different circular polarizations. The gain of the two orthogonal wire antenna elements as a function of frequency in a direction normal to the surface. The solid line is for co-polarized radiation while the dashed line is for cross-polarized radiation. Figure 9 shows that this antenna produces very good circular polarization, having a polarization ratio ranging from 10 to 20 decibels. This radiation pattern is well suited for communicating with an orbiting satellite. This radiation pattern can also be adjusted toward lower angles using the methods described herein.

The suitability of the antenna system for use with terrestrial communications systems using a vertically polarized radiation pattern lobe was also tested. Figure 10 shows the same four antenna element 15 antenna system with a 90 degree hybrid 25 connected between antenna element 15-1 and antenna element 15-3. The 90 degree phase delay causes the combination of the two co-linear

antenna elements 15-1, 15-3 to produce a two lobe pattern in the E-plane as is shown in Figure 11. The E-plane is shown in a thin line while the H-plane is shown by a thicker line. The antenna elements in this experiment produce a pattern which is biased toward one direction, with the direction being determined by which antenna element receives the 90 degree phase delay. Other phase delays may be used, but the 90 degree hybrid was convenient for the experiments which were performed. Driving the two antenna elements with varying relative phase allows one to produce different radiation patterns in the plane which contains the two antennas and is orthogonal to the Hi-Z surface 10. The pattern shows one large lobe directed toward one direction and one small lobe in the opposite direction. The position of the large lobe may be adjusted by varying the phase delay between the two antennas. In the direction of the main lobe the antenna system has vertical polarization, which is ideal for communicating with a terrestrial network. Neither this nor the previously discussed experiment included any features or techniques mentioned or described elsewhere herein for improving low angle radiation. However, such techniques may be employed to further improve the antenna system's ability to cope with low angle radiation sources.

5

10

15

20

25

Many of the embodiments of the invention described above utilize antenna elements which are elongate wire elements. The invention is not limited to that type of antenna element. Indeed, the concepts disclosed herein can be used in connection with any type of antenna capable of being disposed on Hi-Z surface 10, including, for example, patch antennas and flared notch antennas. See, for example, the embodiment depicted by Figure 1a. The number of antenna elements 15 shown on the high impedance surface 10 in the figures is four, but it should be appreciated that the number of antenna elements 15 utilized on a given high impedance surface 10 can be far greater than four. Four antenna elements 15 are used in the disclosed embodiments since the disclosed antennas can function with as few a four antenna elements 15 and it is convenient to describe the antenna works in terms of an antenna with four elements 15. Antennas with greater numbers of antenna elements 15 would typically arrays of antenna elements disposed on a high

impedance surface, the arrays preferably comprising regular repeating patterns of substantially identical antenna elements 15 preferably arranged in groups of four antenna elements 15.

Having described various ways to produce a various radiation patterns having various polarizations using a four element antenna, the feeding or combining network which may be used to couple antenna elements 15 is now described. There several possible combining networks that can produce the functions described above in connection with the reported experimental data. The simplest example is to combine the feed points of the four antenna elements 15 with equal phase, to produce an output for signals received from a terrestrial network. One can then combine the outputs from orthogonal pairs of antenna elements with a 90 degree phase delay to produce an output for a received satellite signal. This produces left handed or right handed circular polarization, with the orientation determined by which pair of wires receives the 90 degree phase delay. This simple example of a feeding or combining network is illustrated in Figure 12 and is described in Table I. As shown in Figure 12, the feed point of each antenna element 15-1 through 15-4 is split or divided into separate branches by a power divider 30 and the branches are then recombined with the appropriate phase delay (180° for one of the two signals delivered to the 90° hybrid and 180° for the signals delivered by antennas 15-3 and 15-4 to the two input power combiners 32 - see elements 26) to produce the functions described below. The terrestrial signal is retrieved at the output labeled T, whereas the satellite signals are received at the outputs labeled S1 and S2. Because the 90 degree hybrid has two outputs, one may actually obtain both left and right hand circular polarizations simultaneously; however, this is not needed for many satellite systems and therefore use of only one of the two outputs S1 or S2 may suffice in many applications. Table I describes the simplest possible combining network. It does not provide for antenna diversity.

5

10

15

20

Terrestrial	Satellite
A+B+C+D	A-C+j(B-D)

Table I. The function produced by the network shown in Figure 12, where:

A =the feedpoint for antenna 15-1;

B =the feedpoint for antenna 15-2;

C = the feedpoint for antenna 15-3; and

D =the feedpoint for antenna 15-4.

In Figure 12, the feed points of the four antenna elements 15-1 through 15-4 are connected four power divider circuits 30. In this embodiment, the power dividers 30 each have two outputs. Power combiners 32 either add or subtract their inputs according to the logic set forth in Table I. The signals S1 and S2 are obtained from the outputs of the 90 degree hybrid 25. These RF components are commercially available from Anaren Microwave of East Syracuse, NY, USA.

A more complicated combining network is shown in Figure 13 and described in Table II. In this example, the antenna provides for switched beam diversity in both the satellite signal and the terrestrial signal. Each signal has four possible outputs, labeled T1 through T4 for the terrestrial systems and S1 through S4 for the satellite system. Each of these outputs represents a beam at a different angle, and the receiver may switch between beams or use multiple beams simultaneously to maximize the received signal to noise and interference ratio.

Terrestrial	Satellite	
A+jC	A+jB	
C+jA	B+jC	
B+jD	C+jD	
D+jB	D+jA	

20

15

Table II. The function produced by the network shown in Figure 13, where:

A =the feedpoint for antenna 15-1;

B =the feedpoint for antenna 15-2;

25 C = the feedpoint for antenna 15-3; and

D = the feedpoint for antenna 15-4...

5

10

20

25

In Figure 13, the feed points of the four antenna elements 15-1 through 15-4 are each connected to one of four power divider circuits 30, which are separately identified as dividers 30-1 through 30-4 for this embodiment. In this embodiment, the power dividers 30 each have three outputs and such power dividers are commercially available from Anaren Microwave. The signals S1 through S4 are obtained from the outputs of four power combiners 32 which are separately identified as 32-1 through 32-4. Each power combiner has two inputs and is commercially available from Anaren Microwave. The signals T1 through T4 are provided at the outputs of two 90 degree hybrid circuits 25, which are separately identified as hybrids 25-1 and 25-2 and are commercially available from Anaren Microwave. Four 90 degree circuits 29 are also provided which may also be obtained from Anaren Microwave.

15 In this more complicated embodiment:

- (1) one output from each divider 30-1 and 30-3 is applied to hybrid 25-1 while one output from each divider 30-2 and 30-4 is applied to hybrid 25-2. Hybrid 25-1 outputs signals T1 and T2 while hybrid 25-2 outputs signal T3 and T4.
- (2) one output from each divider 30-1 and 30-2 is applied to combiner 32-1 with the signal in the leg from divider 30-2 being subjected to a 90 degree phase change while one output from each divider 30-3 and 30-4 is applied to combiner 32-3 with the signal in the leg from divider 30-4 being subjected to a 90 degree phase change. Combiner 32-1 outputs signal S1 while combiner 32-3 outputs signal S3.
- (3) one output from each divider 30-3 and 30-2 is applied to combiner 32-2 with the signal in the leg from divider 30-3 being subjected to a 90 degree phase change while one output from each divider 30-1 and 30-4 is applied to combiner 32-4 with the signal in the leg from divider 30-1 being subjected to a 90 degree phase change. Combiner 32-2 outputs signal S2 while combiner 32-4 outputs signal S4.

Figure 13 is a rather "brute force" approach to the problem of providing a feed or combining network with antenna diversity capabilities. The CP outputs are obtained from combining adjacent elements in phase quadrature, while the LP outputs are obtained by combining opposite elements in phase quadrature. The appropriate phases are produced by 90 degree delays using 90 degree hybrids. Those skilled in the art of microwave circuits will likely devise other embodiments, including simpler embodiments, for carrying out the functions noted above, but the circuit shown by Figure 13 illustrates the concepts involved.

Although specific examples of a simple and a complicated combining network have been given, this invention is not limited to the examples given. The construction of microwave networks is known to those skilled in the art of microwave networks, and other examples will clearly present themselves to those skilled in the art who read this specification. For example, differing amount of phase delay than the amount indicated by be used in some embodiments and indeed it may be desirable in some embodiments to make the amount (degrees) of phase delay variable. Also, not all signals will be needed for all applications and therefore some practicing the present invention may well choose to make certain simplifications. For example, it has already been mentioned that having both right and left handed circular polarizations may be unnecessary in certain applications.

10

The antenna elements have been described herein as being wire antennas. It should be realized that the present invention (i) is not limited to using wire antennas as the antenna elements and (ii) is not limited to using only four antenna elements on a Hi-Z surface. Four antenna elements are disclosed herein since the experiments related herein were done on the basis of a four element antenna. It is to be understood however that increasing the number of antenna elements is likely to improve the beam diversity switching capabilities of the antenna system with a related increase in the complexity of the combining network.

The surface upon which the antenna elements are disposed should function like a Hi-Z surface,

i.e., by having a relatively high impedance in a frequency band of interest. Thus, the invention is not limited to just the Hi-Z surfaces previously described herein.

What is claimed is:

- 1. An antenna system comprising:
- (a) a high impedance surface having a relatively higher impedance at a frequency of interest and having a relatively lower impedance at frequencies higher and lower than the frequency of interest;
- (b) a set of elongate wire antennas disposed on said high impedance surface with their major axes disposed immediately adjacent said high impedance surface, each elongate antenna having a feed end arranged such that the feed end of each elongate wire antenna is disposed closer to a central portion of said high impedance surface than to a peripheral portion of said high impedance surface, each elongate wire antenna having a distal end directed towards the peripheral portion of said high impedance surface;
- (c) each elongate wire antenna being associated with an impedance matching stub attached by a first end of the stub at the feed end the associated wire antenna, each impedance matching stub having a distal end remote from the first end thereof, the distal ends of said stubs being disposed closer to the central portion of the high impedance surface than are the first ends thereof; and
- (d) an antenna coupling arrangement coupled to the feed ends of said antennas for passing circularly polarized electromagnetic signals received by the antenna system to a first output thereof and for passing vertically polarized electromagnetic signals received by the antenna system to a second output thereof.
- 2. The antenna system of claim 1 wherein the antenna coupling arrangement passes right handed circularly polarized electromagnetic signals received by the antenna system to said first output thereof and passes left handed circularly polarized electromagnetic signals received by the antenna system to a third output thereof.
- 3. A planar antenna system for receiving both circularly polarized electromagnetic signals and linearly polarized electromagnetic signals, the circularly polarized signals arriving at the planar antenna system from a direction normal or oblique to a major

surface of the antenna system and the linearly polarized signals arriving at the planar antenna system from a direction acute to said major surface, the antenna system comprising:

a high impedance surface;

a plurality of antenna elements disposed on said high impedance surface, the plurality of antenna elements arranged in a pattern on said surface such that selected pairs of said antenna elements occur either (i) on one half of one side of said surface or (ii) in a linear relationship on one side of said surface; and

an antenna coupling arrangement coupled to said antenna elements for passing circularly polarized electromagnetic signals received by the antenna system to a first output thereof and for passing linearly polarized electromagnetic signals received by the antenna system to a second output thereof.

- 4. The antenna system of claim 3 wherein the antenna coupling arrangement passes right handed circularly polarized electromagnetic signals received by the antenna system to said first output thereof and passes left handed circularly polarized electromagnetic signals received by the antenna system to a third output thereof.
- 5. The antenna system of claims 3 or 4, wherein the antenna elements of the plurality of antenna elements are substantially identical to each other.
- 6. An antenna system for receiving both circularly polarized electromagnetic signals and linearly polarized electromagnetic signals, the circularly polarized signals arriving at the antenna system from a direction normal or oblique to a major surface of the antenna system and the linearly polarized signals arriving at the planar antenna system from a direction acute to said major surface, the antenna system comprising:

a high impedance surface; and

a plurality of antenna elements disposed on said high impedance surface, the plurality of antenna elements arranged in a pattern on said surface such that first selected ones of said antenna elements are responsive to circular polarization and second selected ones of said antenna elements are responsive to linear polarization.

7. The antenna system of claim 6 further including an antenna element coupling arrangement coupled to said antenna elements for passing circularly polarized electromagnetic signals received by said first selected ones of said antenna elements to a first output thereof and for passing linearly polarized electromagnetic signals received by said second selected ones of said antenna elements to a second output thereof.

- 8. The antenna system of claim 7 wherein the first and second selected one of said antenna elements each comprise pairs of antenna elements.
- 9. The antenna system of claim 8 wherein each antenna element is a wire antenna element with an antenna stub commonly connected to a feed point.
- 10. The antenna system of any one of claims 7 9, wherein the antenna coupling arrangement passes right handed circularly polarized electromagnetic signals received by the antenna system to said first output thereof and passes left handed circularly polarized electromagnetic signals received by the antenna system to a third output thereof.
- 11. The antenna system of any one of claims 6 9, wherein the antenna elements of the plurality of antenna elements are substantially identical to each other.
- 12. A method of receiving circularly polarized signals from a position relatively high in the sky and at the same time linearly polarized signals from a position relatively lower in the sky and closer to the horizon, the method comprising the steps of:
 - (a) providing a high impedance surface; and
- (b) disposing a plurality of antenna elements on said high impedance surface and arranging the plurality of antenna elements in a pattern on said surface such that first selected ones of said antenna elements are responsive to circular polarization and second selected ones of said antenna elements are responsive to linear polarization.

13. The method of claim 12 further including:

passing circularly polarized electromagnetic signals received by the antenna elements to a first output thereof; and

passing linearly polarized electromagnetic signals received by the antenna elements to a second output thereof.

- 14. The method of claim 12 wherein the first and second selected ones of said antenna elements each comprise pairs-of antenna elements.
- 15. The method of claim 12 wherein each antenna element is a wire antenna element with an antenna stub commonly connected to a feed point.
- 16. The method of any one of claims 12 15, wherein right handed circularly polarized electromagnetic signals received by the antenna elements are passed to one output thereof and wherein left handed circularly polarized electromagnetic signals received by the antenna elements are passed to another output thereof.
- 17. The method of any one of claims 12 16, wherein the antenna elements of the plurality of antenna elements are substantially identical to each other.
- 18. The method of any one of claims 12 17, wherein the high impedance surface is disposed in essentially a horizontal orientation and wherein the linear polarization is vertical polarization.
- 19. A antenna for receiving circularly polarized signals from a position relatively high in the sky and at the same time linearly polarized signals from a position relatively lower in the sky and closer to the horizon, the antenna comprising:
 - a high impedance surface; and
- a plurality of antenna elements disposed on said high impedance surface and arranged in a pattern on said surface, first selected ones of said antenna elements being responsive to circular polarization and second selected ones of said antenna elements

being responsive to linear polarization.

20. The antenna of claim 19 further including:

an antenna element coupling arrangement coupled to said antenna elements for passing circularly polarized electromagnetic signals received by the antenna system to a first output thereof and for passing linearly polarized electromagnetic signals received by the antenna system to a second output thereof.

- 21. The antenna of claim 19 wherein the first and second selected ones of said antenna elements each comprise pairs of antenna elements.
- 22. The antenna of claim 19 wherein each antenna element is a wire antenna element with an antenna stub commonly connected to a feed point.
- 23. The method of any one of claims 19 22, wherein the antenna coupling arrangement passes right handed circularly polarized electromagnetic signals received by the antenna system to said first output thereof and passes left handed circularly polarized electromagnetic signals received by the antenna system to a third output thereof.
- 24. The method of any one of claims 19 23, wherein the antenna elements of the plurality of antenna elements are substantially identical to each other and the pattern in which they are disposed on said surface is a regular repeating pattern.
- 25. The method of any one of claims 19 24, wherein the high impedance surface is disposed in essentially a horizontal orientation and wherein the linear polarization is vertical polarization.

26. An antenna system for receiving both circularly polarized electromagnetic signals and linearly polarized electromagnetic signals, the circularly polarized signals arriving at the antenna system from a direction normal or oblique to a major surface of the antenna system and the linearly polarized signals arriving at the planar antenna system from a direction acute to said major surface, the antenna system comprising:

a high impedance surface which has a surface wave band gap extending over frequencies of (i) the circularly polarized signals and (ii) the linearly polarized signals; and

a plurality of antenna elements disposed on said high impedance surface, the plurality of antenna elements arranged in a pattern on said surface such that selected pairs of said antenna elements occur either (i) on one half of one side of said surface or (ii) in a linear relationship on one side of said surface.

- 27. The antenna system of claim 26 further including an antenna coupling arrangement coupled to said antenna elements for passing circularly polarized electromagnetic signals received by the antenna system to a first output thereof and for passing linearly polarized electromagnetic signals received by the antenna system to a second output thereof.
- 28. The antenna system of claim 27 wherein the antenna coupling arrangement passes right handed circularly polarized electromagnetic signals received by the antenna system to said first output thereof and passes left handed circularly polarized electromagnetic signals received by the antenna system to a third output thereof.
- 29. The antenna system of any one of claims 26 28, wherein the antenna elements of the plurality of antenna elements are substantially identical to each other.

30. A method of receiving circularly polarized signals from a position relatively high in the sky and at the same time linearly polarized signals from a position relatively lower in the sky and closer to the horizon, the method comprising the steps of:

- (a) providing a high impedance surface which has a surface wave band gap extending over frequencies of (i) the circularly polarized signals and (ii) the linearly polarized signals; and
- (b) arranging a plurality of antenna elements in a pattern on said high impedance surface such that first selected ones of said antenna elements are responsive to circular polarization and second selected ones of said antenna elements are responsive to linear polarization.
- 31. The method of claim 30 wherein the frequencies of (i) the circularly polarized signals and (ii) the linearly polarized signals fall with an upper half of the surface wave band gap of the high impedance surface and wherein the high impedance surface has a size which is equal to or less than one square wavelength of frequencies of the linearly polarized signals.
- 32. The method of claim 31 further including:

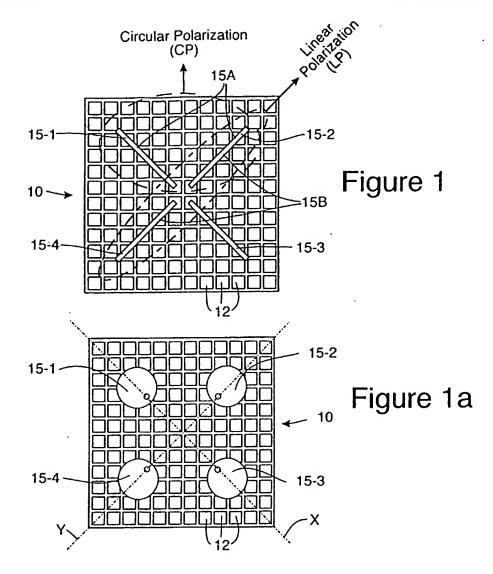
passing circularly polarized electromagnetic signals received by the antenna elements to a first output thereof; and

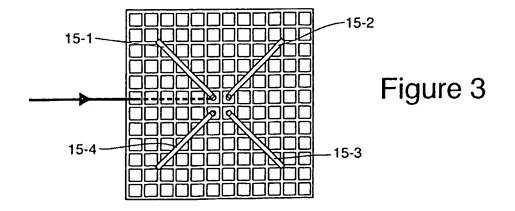
passing linearly polarized electromagnetic signals received by the antenna elements to a second output thereof.

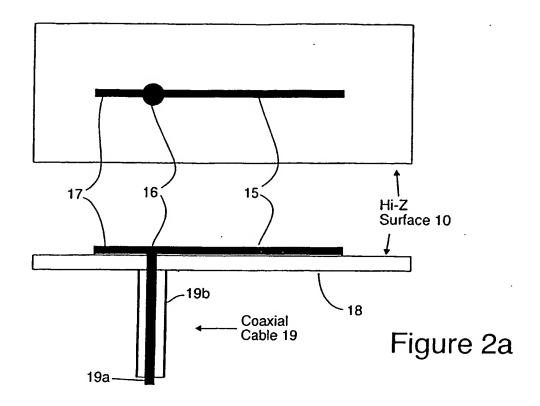
- 33. The method of claims 31 or 32, wherein the first and second selected ones of said antenna elements each comprise pairs of antenna elements.
- 34. The method of any one of claims 31 33, wherein each antenna element is a wire antenna element with an antenna stub commonly connected to a feed point.

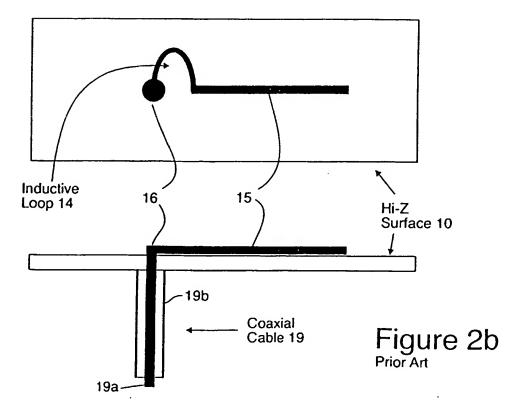
35. The method of any one of claims 31 - 34, wherein right handed circularly polarized electromagnetic signals received by the antenna elements are passed to one output thereof and wherein left handed circularly polarized electromagnetic signals received by the antenna elements are passed to another output thereof.

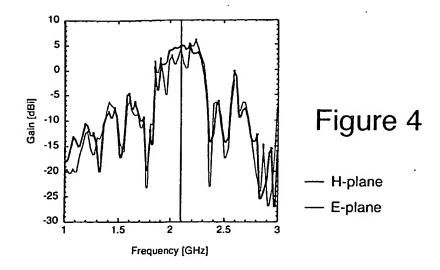
- 36. The method of any one of claims 31 35, wherein the antenna elements of the plurality of antenna elements are substantially identical to each other.
- 37. The method of any one of claims 31 36, wherein the high impedance surface is disposed in essentially a horizontal orientation and wherein the linear polarization is vertical polarization.

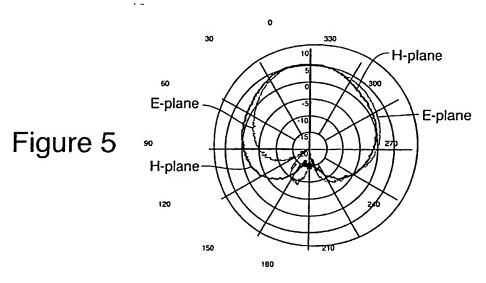


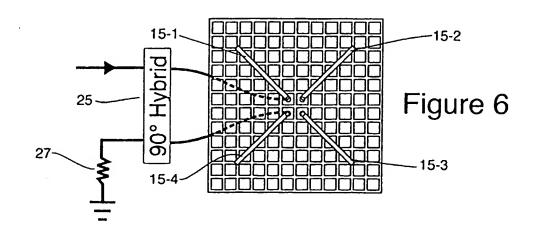












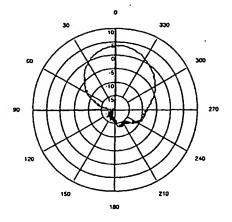


Figure 7

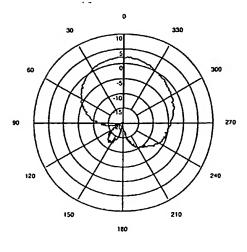


Figure 8

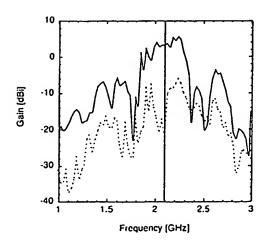
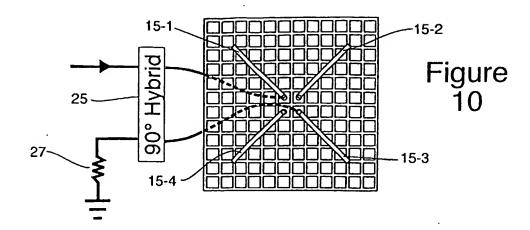
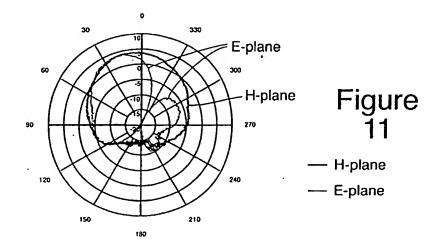


Figure 9





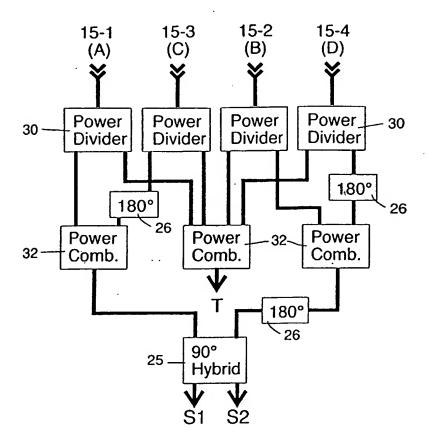


Figure 12

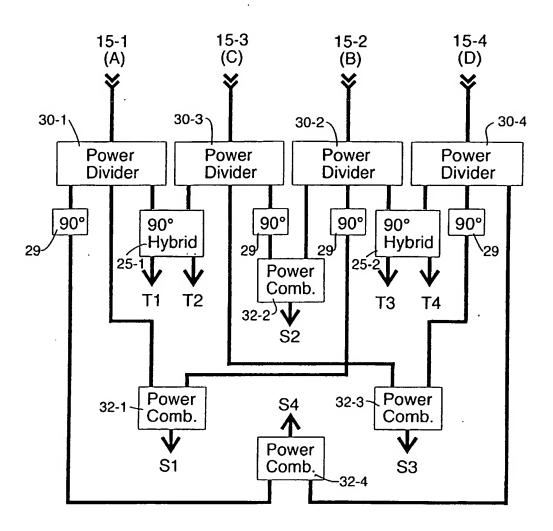


Figure 13

INTERNATIONAL SEARCH REPORT

lonal Application No

A. CLASSIFICATION OF SUBJECT MATTER
IPC 7 H01Q15/00 H01Q H01Q21/24 According to International Patent Classification (IPC) or to both national classification and IPC B. FIELDS SEARCHED Minimum documentation searched (classification system followed by classification symbols) IPC 7 H01Q Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched Electronic data base consulted during the International search (name of data base and, where practical, search terms used) WPI Data, PAJ, EPO-Internal C. DOCUMENTS CONSIDERED TO BE RELEVANT Relevant to daim No. Citation of document, with indication, where appropriate, of the relevant passages Category * SIEVENPIPER D ET AL: "HIGH-IMPEDANCE 1-37 Α ELECTROMAGNETIC SURFACES WITH A FORBIDDEN FREQUENCY BAND" IEEE TRANSACTIONS ON MICROWAVE THEORY AND TECHNIQUES, IEEE INC. NEW YORK, US, vol. 47, no. 11, November 1999 (1999-11), pages 2059-2074, XP000865103 ISSN: 0018-9480 the whole document 1 - 37EP 1 056 155 A (KATHREIN WERKE KG) Α 29 November 2000 (2000-11-29) abstract 1 - 37US 5 828 344 A (ALDER CHRISTOPHER J ET A AL) 27 October 1998 (1998-10-27) the whole document Further documents are listed in the continuation of box C. Patent family members are listed in annex. Special categories of cited documents: *T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the "A" document defining the general state of the art which is not considered to be of particular relevance invention 'E' earlier document but published on or after the international "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone filing date "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such docucitation or other special reason (as specified) O' document referring to an oral disclosure, use, exhibition or ments, such combination being obvious to a person skilled other means document published prior to the International filing date but later than the priority date claimed in the art. *&* document member of the same patent family Date of mailing of the international search report Date of the actual completion of the international search 07/11/2002 29 October 2002 Authorized officer Name and mailing address of the ISA European Patent Office, P.B. 5818 Patentiaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Wattiaux. V Fax: (+31-70) 340-3016

INTERNATIONAL SEARCH REPORT

Ir tional Application No PCI/US 02/22142

C/Continue	ition) DOCUMENTS CONSIDERED TO BE RELEVANT	101/03 02/22142		
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.		
A		1-37		
п	US 6 005 511 A (HENDERSON LEE W ET AL) 21 December 1999 (1999-12-21) the whole document			
P,A	WO 01 67552 A (HRL LABORATORIES) 13 September 2001 (2001-09-13) the whole document	1-37		
:		·		
	•			

INTERNATIONAL SEARCH REPORT

II itional Application No PCT/US 02/22142

Patent document cited in search report	j	Publication date		Patent family member(s)	Publication date
EP 1056155	Α	29-11-2000	DE EP	19924349 A1 1056155 A2	21-12-2000 29-11-2000
US 5828344	A	27-10-1998	DE GB	4125386 A1 2279179 A ,B	22-01-1998 21-12-1994
US 6005511	Α	21-12-1999	US	5684490 A	04-11-1997
WO 0167552	Α	13-09-2001	US AU WO	6426722 B1 2735001 A 0167552 A1	30-07-2002 17-09-2001 13-09-2001

SETTLEMENT AGREEMENT

The Gold Corporation holds U.S. Trademark Registration No. 2,265,081 in connection with "cookies" ("Opposer's Goods") in International Class 30, which will soon be "incontestable" pursuant to Section 15 of the Lanham Act, 15 U.S.C. § 1065. The mark "SCHOOL KINE COOKIES" is famous under 15 U.S.C. § 1125(c)(1).

The Gold Corporation also holds a trade name registration in the State of Hawaii for "SCHOOL KINE COOKIES".

On June 3, 2004, Hawaii Kine Inc. filed with the U.S. Patent and Trademark Office Application Serial No. 78/429184 for "HAWAII KINE" in connection with "Beverages, namely, coffee" ("Applicant's Goods") in International Class 30, on an intent to use basis (the "Application"). The Application is not restricted as to channels of trade or purchasers.

The Gold Corporation asserts that coffee is related to cookies and that therefore, Opposer's Goods and Applicant's Goods are related.

The Gold Corporation asserts that the only distinctive portion of "HAWAII KINE" is "KINE" because "HAWAII" is a geographically descriptive term. However, "KINE" is also the distinctive portion of The Gold Corporation's mark "SCHOOL KINE COOKIES". The Gold Corporation filed U.S. Trademark Application Serial No. 76/652345 for "KINE" for cookies.

The Gold Corporation asserts that Hawaii Kine Inc.'s use of the name "HAWAII KINE" creates a likelihood of confusion between Hawaii Kine Inc.'s business and The Gold Corporation's business, and dilutes "SCHOOL KINE COOKIES" and therefore constitutes infringement of The Gold Corporation's trade name and trademark rights, deceptive trade practices, unfair competition and dilution under applicable state and federal laws.

The Gold Corporation and Hawaii Kine Inc. wish to settle their dispute on the terms and conditions set forth in this settlement agreement (this "Agreement").

1. No Likelihood of Confusion/Dilution: Hawaii Kine Inc. agrees that, except for coffee, it shall not use "HAWAII KINE", or any mark consisting of or containing "KINE", on goods or services that are sufficiently related to food or cookies to cause a likelihood of confusion or a likelihood of dilution.

- 2. <u>No Registration</u>: Except as specified in this Agreement, Hawaii Kine Inc. shall not adopt, use or seek registration of "SCHOOL KINE COOKIES" (or any other mark or name that is confusingly similar to, or diluting "SCHOOL KINE COOKIES" or "KINE"), in any manner, anywhere in the world.
- 3. <u>Consent</u>: Nothing in this agreement shall prevent The Gold Corporation from using or registering "KINE" in connection with cookies, foods, clothing or other products, and Hawaii Kine Inc. shall consent to any of The Gold Corporation's applications that may be blocked by Hawaii Kine Inc.'s applications or registrations for "KINE" within thirty (30) days after request.
- 4. No Challenge: Hawaii Kine Inc. recognizes The Gold Corporation's rights in and to the marks "KINE" and "SCHOOL KINE COOKIES" in connection with cookies and related food products (except coffee) and agrees never to challenge or assist or encourage others to challenge, anywhere in the world, the validity or distinctiveness of The Gold Corporation's trademark rights in and to "KINE" and "SCHOOL KINE COOKIES" in connection with cookies and related food products (except coffee), or The Gold Corporation's ownership of such rights.
- 5. <u>Actual Confusion</u>. Should it come to the attention of either Party that any actual confusion has occurred in the marketplace between their respective marks, they agree to confer

and to take whatever action is deemed reasonable and appropriate to alleviate the existing and any possible future confusion.

- 6. Authority. The execution and delivery of this Agreement by or on behalf of a party shall constitute a warranty and representation by each person performing the execution and/or delivery that such person has been duly and validly authorized to execute and/or deliver this Agreement by or on behalf of that party.
- 7. Amendment and Waiver. The terms of this Agreement may not be amended, modified or eliminated, and the observance or performance of any term, covenant, condition or provision herein may not be waived except by the written consent of the party charged with such amendment, modification or waiver. The waiver by any party hereto of a breach of any term or provisions of this Agreement shall not be construed as a waiver of any subsequent breach.
- 8. <u>Binding Effect</u>. This Agreement shall be binding upon and inure to the benefit of the parties hereto and their respective heirs, personal representatives, devisees, successors in trust, successors and assigns, provided, however, that neither party shall have the right to assign its rights or obligations hereunder or any interest herein without the prior written consent of the other party. Nothing contained in this Agreement, express or implied, is intended to or shall confer on

any person other than the parties hereto and their respective successors or assigns any rights, remedies, obligations or liabilities under or by reason of this Agreement.

- 9. Severability. If any provision of this Agreement is contrary to, prohibited by or deemed invalid under applicable laws or regulations, such provisions shall be inapplicable and deemed omitted to the extent so contrary, prohibited or invalid, but the remainder hereof shall not be invalidated thereby and shall be given effect so far as possible. If any provision of this Agreement is contrary to, prohibited by or deemed invalid under the laws and regulations of one jurisdiction, said provision is not thereby rendered invalid in any other jurisdiction.
- 10. Governing Law. This Agreement shall be subject to, governed by, construed and enforced under the laws of the State of Hawaii and the United States of America. The parties consent to exclusive jurisdiction and venue of the federal and state courts located in the State of Hawaii in any action arising out of or relating to this Agreement. The parties waive any other venue to which either party might be entitled by domicile or otherwise.
- 11. Entire Agreement. This Agreement constitutes the entire agreement between the parties hereto with respect to the transactions contemplated hereunder and supersedes all prior

agreements, understandings and arrangements, oral or written, between the parties hereto with respect to the transactions contemplated hereunder.

IN WITNESS WHEREOF, the parties hereto have duly executed this Agreement on the day and year first above written.

THE GOLD CORPORATION
By:
Name:
Title:
Date:
HAWAII KINE INC.
By:
Name:
Title:
Date: